

Section 31 Local Authority Major "Tail Schemes" - Unified Progress Report and Funding Claim Form

Part 1 SCHEME INFORMATION

Lead Local Authority:

Scheme Name:

Scheme Description:

Is this a Grant Claim? [Select from drop-down box]

[Select from drop-down box]

Part 2 STATUS OF SCHEME

[Select from drop-down box]

	Expected Date of bid submission (dd-mm-yy)	Actual Date of bid submission (dd-mm-yy)	Date bid Approved by DFT (dd-mm-yy)	Total Scheme Cost (Note 1) £	DFT Contribution (Note 2) £
Programme Entry		08-Sep-2011	30-Nov-2011	£89,743,572	£49,950,000
Conditional Approval					
Full Approval	03-Oct-2016				
Latest Estimate of Scheme Cost (if different from above)					

NOTES:
(1) This should be the total cost of the scheme at the time of DFT approval or at the time of the application to DFT for approval, whichever is applicable.
(2) This should be the approved DFT contribution or, in the case of an approval applied for the requested DFT contribution

	Estimated Date (dd-mm-yy)	Actual Date (dd-mm-yy)
Start of construction	03-Jan-2017	
End of construction	03-Jan-2019	

IF NO GRANT HAS BEEN AWARDED IN 2016-17 PLEASE GO TO PART 4

Part 3 IN YEAR FINANCE SUMMARY

2016-17 Full Year Forecast (from Part 7)	2016-17 Maximum Grant Allocation (as per award letter & determination)	Variance	% Variance
£0		£0	0%

Please explain any variance in part 6

Part 4 PROGRESS AGAINST MILESTONES

Milestone	Completed? (Yes or No)	Expected Completion Date as on previous return (dd-mm-yy)	Current / Actual Completion Date (dd-mm-yy)	Comments (mandatory if any slippage since last quarter)
Approval of BaFB from DFT	Yes	30-Nov-2011	30-Nov-2011	
Planning application submitted	Yes	10-Dec-2012	10-Dec-2012	
Planning application determined	Yes	10-Jun-2013	10-Jun-2013	Section 73 and relocated NMU bridge applications granted consent 6 October 2014. Planning permission implemented in May 2016 by construction of access track required for the scheme.
Statutory Orders published	Yes	23-Oct-2014	23-Oct-2014	
Public Inquiry starts	Yes	11-Aug-2015	11-Aug-2015	
Confirmation of Orders	Yes	16-Feb-2016	16-Feb-2016	Orders confirmed February 2016. Judicial Review period ended in April 2016.
Complete procurement	No	23-Sep-2016	23-Sep-2016	Tenders returned on 30\8\16 and are currently being marked with a decision expected on 23\09\16. LCC Executive approval being sought 1 November, subject to Full funding approval.
Submit Full Approval application to DFT	No	03-Oct-2016	03-Oct-2016	
Full funding approval granted	No	14-Nov-2016	28-Nov-2016	DFT confirmed approximate 8 week process
Works start on site	No	03-Jan-2017	03-Jan-2017	Assumed period to sign contract and mobilise. Network Rail works expected to start 1 December 2016.
Works completed	No	03-Jan-2019	03-Jan-2019	Assumes two year construction period although dependent on how preferred bidder has considered Network Rail constraint on access under railway (not available until April 2018).
Submission of evaluation plan to Dft (Mandatory)	Yes	12-Sep-2014	25-Jul-2016	Updated version as agreed by DFT.
Submission of evaluation report to Dft (Mandatory)	No	03-May-2020	03-May-2020	Allows 3 months for data collection and analysis from 12 months after opening

Part 5 CURRENT TOTAL ESTIMATED OUTTURN COSTS

All figures in resource accounting basis (including risk and inflation but NOT Optimism Bias)

Annual profiles	Total scheme cost	DFT Scheme Cost Funding (Note 3)	DFT Prep Costs Funding	Local Authorities' Intended Contribution (Note 4)	Local Authorities' Prep Costs Contribution	Third Party Funding (Note 5)
	[a]	[b]	[c]	[d]	[e]	
	£	£	£	£	£	£
Pre 2008-09						
2008-09						
2009-10						
2010-11	£166,572	£166,572	£0	£0		
2011-12	£319,079	£319,079	£0	£0		
2012-13	£520,970	£520,970	£0	£0		
2013-14	£3,358,045	£3,358,045	£0	£0		
2014-15	£1,164,033	£1,164,033	£0	£0		
2015-16	£1,489,358	£1,489,358	£0	£0		
2016-17	£11,530,000	£11,530,000	£0	£0		
2017-18	£40,554,349	£29,480,857	£0	£11,073,492		
2018-19	£27,915,651	£1,921,086	£0	£25,994,565		
2019-20	£2,725,515	£0	£0	£2,725,515		
2020-21						
2021-22						
Post 2021-22						
Total scheme costs	89,743,572	49,950,000	0	39,793,572	0	0

NOTES:
(3) Dft funding should be shown in the year the work was carried out. The yearly funding profile should be the actual amount or forecast that will be claimed in the corresponding year. Not necessarily the maximum grant allocation that has been awarded for a particular year.
The total of this column should not exceed the agreed maximum DFT contribution.

(4) Include 3rd party contributions that are planned but not secured

(5) For schemes with Programme Entry or later approval the figures in this column should be limited to funds for which there is a commitment or conditional written offer.

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Chief Finance Officer Name: Signed: Date:

Senior Responsible Owner Name: Signed: Date:

Part 10 FOR DFT USE ONLY

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Certification

This claim has been checked. I am satisfied it is in order.

I certify that the grant claim for the above scheme is satisfactory. The claim, which is considered fair and reasonable, is in accordance with the terms of the grant offer letter. The arithmetic is correct. No previous grant claim for the same work has been made and payment has not previously been authorised.

Name: Signed: Date:

Authorisation

I am satisfied that this grant claim for the above scheme is in order and I hereby approve payment.

Name: Signed: Date: